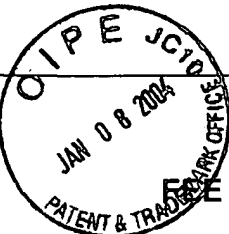


Image

PTO/SB/17 (10/02) (modified)

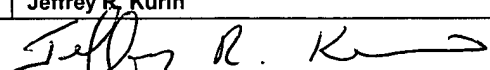
Approved for use through 04/30/2003, OMB-0651-0032  
Patent and Trademark Office; US DEPARTMENT OF COMMERCE

 <p><b>2003</b></p> <p><b>FEE TRANSMITTAL</b></p> <p><b>TOTAL AMOUNT OF PAYMENT</b></p> <p>Subtotal (1) + Subtotal (2) + Subtotal (3) = <b>(\$ 266.00)</b></p>	<b>Complete if Known</b>	
	Application Number	10/074,339
	Filing Date	February 12, 2002
	Inventor	Charles E. Taylor et al.
	Group Art Unit	1711
	Examiner Name	Thao T. Tran
	Attorney Docket Number	SHPR-01041USP

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																											
<b>1. The Commissioner is hereby authorized to:</b> <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. <sup>†</sup> <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.  Deposit Account Number: 06-1325 Deposit Account Name: Fliesler Dubb Meyer & Lovejoy  <b>2. <input checked="" type="checkbox"/> Payment Enclosed:</b> <input checked="" type="checkbox"/> Check <input type="checkbox"/> Other		<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity Fee Code/Fee</th> <th>Small Entity Fee Code/Fee</th> <th>Fee Description</th> <th>Fee Due</th> </tr> </thead> <tbody> <tr><td>1051/\$130</td><td>2051/\$65</td><td>Surcharge - late filing fee or oath</td><td><input type="checkbox"/></td></tr> <tr><td>1052/\$50</td><td>2052/\$25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td><input type="checkbox"/></td></tr> <tr><td>1812/\$2,520</td><td>1812/\$2,520</td><td>For filing a request for reexamination</td><td><input type="checkbox"/></td></tr> <tr><td>1251/\$110</td><td>2251/\$55</td><td>Extension for response within first month<sup>†</sup></td><td><input type="checkbox"/></td></tr> <tr><td>1252/\$420</td><td>2252/\$210</td><td>Extension for response within second month<sup>†</sup></td><td><input type="checkbox"/></td></tr> <tr><td>1253/\$950</td><td>2253/\$475</td><td>Extension for response within third month<sup>†</sup></td><td><input type="checkbox"/></td></tr> <tr><td>1254/\$1,480</td><td>2254/\$740</td><td>Extension for response within fourth month<sup>†</sup></td><td><input type="checkbox"/></td></tr> <tr><td>1255/\$1,970</td><td>2255/\$985</td><td>Extension for response within fifth month<sup>†</sup></td><td><input type="checkbox"/></td></tr> <tr><td>1401/\$320</td><td>2401/\$160</td><td>Notice of Appeal</td><td><input type="checkbox"/></td></tr> <tr><td>1453/\$1,300</td><td>2453/\$650</td><td>Petition to revive unintentionally abandoned application</td><td><input type="checkbox"/></td></tr> <tr><td>1501/\$1,330</td><td>2501/\$665</td><td>Utility Issue Fee (Or Reissue)</td><td><input type="checkbox"/></td></tr> <tr><td>1502/\$470</td><td>2502/\$235</td><td>Design Issue Fee</td><td><input type="checkbox"/></td></tr> <tr><td>1460/\$130</td><td>1460/\$130</td><td>Petitions to the Commissioner</td><td><input type="checkbox"/></td></tr> <tr><td>1814/\$110</td><td>2814/\$55</td><td>Statutory Disclaimer</td><td><input type="checkbox"/></td></tr> <tr><td>1806/\$180</td><td>1806/\$180</td><td>Submission of Information Disclosure Statement</td><td><b>180</b></td></tr> <tr><td>8021/\$40</td><td>8021/\$40</td><td>Recording each patent assignment per property (times number of properties)</td><td><input type="checkbox"/></td></tr> <tr><td>1809/\$750</td><td>2809/\$375</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td><input type="checkbox"/></td></tr> <tr><td>1801/\$770</td><td>2801/\$385</td><td>Request for Continued Examination (RCE)</td><td><input type="checkbox"/></td></tr> <tr><td colspan="2">Other fee (specify):</td><td></td><td><input type="checkbox"/></td></tr> <tr><td colspan="2">Other fee (specify):</td><td></td><td><input type="checkbox"/></td></tr> <tr><td colspan="3"><b>SUBTOTAL (3)</b></td><td><b>(\$ 180)</b></td></tr> </tbody> </table>				Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	1051/\$130	2051/\$65	Surcharge - late filing fee or oath	<input type="checkbox"/>	1052/\$50	2052/\$25	Surcharge-late provisional filing fee or cover sheet	<input type="checkbox"/>	1812/\$2,520	1812/\$2,520	For filing a request for reexamination	<input type="checkbox"/>	1251/\$110	2251/\$55	Extension for response within first month <sup>†</sup>	<input type="checkbox"/>	1252/\$420	2252/\$210	Extension for response within second month <sup>†</sup>	<input type="checkbox"/>	1253/\$950	2253/\$475	Extension for response within third month <sup>†</sup>	<input type="checkbox"/>	1254/\$1,480	2254/\$740	Extension for response within fourth month <sup>†</sup>	<input type="checkbox"/>	1255/\$1,970	2255/\$985	Extension for response within fifth month <sup>†</sup>	<input type="checkbox"/>	1401/\$320	2401/\$160	Notice of Appeal	<input type="checkbox"/>	1453/\$1,300	2453/\$650	Petition to revive unintentionally abandoned application	<input type="checkbox"/>	1501/\$1,330	2501/\$665	Utility Issue Fee (Or Reissue)	<input type="checkbox"/>	1502/\$470	2502/\$235	Design Issue Fee	<input type="checkbox"/>	1460/\$130	1460/\$130	Petitions to the Commissioner	<input type="checkbox"/>	1814/\$110	2814/\$55	Statutory Disclaimer	<input type="checkbox"/>	1806/\$180	1806/\$180	Submission of Information Disclosure Statement	<b>180</b>	8021/\$40	8021/\$40	Recording each patent assignment per property (times number of properties)	<input type="checkbox"/>	1809/\$750	2809/\$375	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="checkbox"/>	1801/\$770	2801/\$385	Request for Continued Examination (RCE)	<input type="checkbox"/>	Other fee (specify):			<input type="checkbox"/>	Other fee (specify):			<input type="checkbox"/>	<b>SUBTOTAL (3)</b>			<b>(\$ 180)</b>
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\* Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

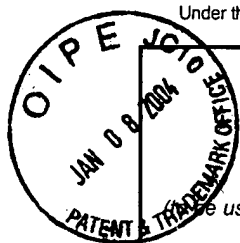
<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Typed or Printed Name	Jeffrey R. Kurin	Reg. Number	41,132
Signature		Date	1/6/04

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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (To be used for all correspondence after initial filing)		Application Number	10/074,339
		Filing Date	February 12, 2002
		Inventor	Charles E. Taylor et al.
		Group Art Unit	1711
		Examiner Name	Thao T. Tran
Total Number of Pages in This Submission (Excluding References & ISR)	27	Attorney Docket Number	SHPR-01041USP

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Certificate of Mailing	<input type="checkbox"/> Formal Drawings	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Fee Transmittal with Deposit Account Authorization	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Check for \$266.00	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Petition	<input checked="" type="checkbox"/> Itemized Postcard
<input checked="" type="checkbox"/> Third Information Disclosure Statement, PTO-1449, 69 References	<input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s)	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Request for RCE	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Declaration	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Application Data Sheet	Remarks:	

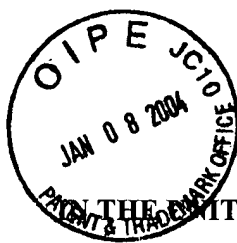
**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Fliesler Dubb Meyer & Lovejoy LLP Jeffrey R. Kurin, Reg. No. 41,132		
Signature			
Date	1/6/04		

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on the date below.			
Typed or printed name	Jeffrey R. Kurin		
Signature		Date	1/6/04

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UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor: Charles E. Taylor et al.  
Appl. No.: 10/074,339  
Confirm. No.: 5846  
Filed: February 12, 2002  
Title: Electro-Kinetic Air Transporter-Conditioner Devices  
with Enhanced Emitter Electrode

PATENT APPLICATION

Art Unit: 1711  
Examiner: Thao T. Tran

Customer No. 23910

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

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Jeffrey R. Kurin (Attorney Signature)  
Jeffrey R. Kurin, Reg. No. 41,132  
Signature Date: 1/6/04

**THIRD INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

01/09/2004 EFLORES 00000084 10074339  
02 FC:1806 180.00 DP

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

***Enclosed with this statement are the following:***

- X Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with MPEP §609.
- X The present application was filed prior to June 30, 2003. A copy of each cited document as required by 37 C.F.R. §1.98 is enclosed.
- X If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

       ***PTA Statement under 37 C.F.R. §1.704(d).*** Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a

***This statement should be considered because:***

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Page 2 of 5

FORM PTO-1449  
(Substitute)

US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Attorney Docket Number

SHPR-01041USP

Serial No.

10/074,339

**INFORMATION DISCLOSURE CITATION  
BY APPLICANT**

Applicant

Charles E. Taylor et al.

Filing Date

February 12, 2002

Group Art Unit

1711

**U.S. PATENTS**

Examiner Initial		Patent Number	Issue Date	Name	Class	Subclass	Filing Date
	1.	1,791,338 A	2/3/1931	Wintermute			4/12/1927
	2.	1,869,335 A	7/26/1932	Day			12/13/1926
	3.	2,359,057 A	9/26/1944	Skinner			2/28/1942
	4.	2,509,548 A	5/30/1950	White			5/27/1948
	5.	3,018,394 A	1/23/1962	Brown			7/3/1957
	6.	3,026,964 A	3/27/1962	Penney			5/6/1959
	7.	3,518,462 A	6/30/1970	Brown			8/21/1967
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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